



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Ho et al.

Attorney Docket No.: 170 Cont2

Application No.: 10/734,932

Examiner: Harris, Chanda L

Filed: December 12, 2003

Group: 3715

Title: Computer-Aided Learning System and  
Method

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence and all enclosures are being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 22, 2007 (Date of deposit)

Type or Print Name of Person Mailing

Signature Colina Tong  
Colina Tong

**NOTICE OF RELATED CASES UNDER MPEP 2001.06(c)**

**And**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with MPEP 2001.06(c), Applicants make the PTO aware of the following litigation for related patents in which the subject matter for which a patent is being sought is or has been involved in litigation.

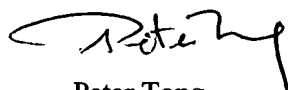
<u>Case Identification</u>	<u>Case Status</u>
E. D. Texas, Action No.: 2:06-CV-00299-TJW	Case Pending

On January 25, 2007, an Answer to Complaint with Counterclaim (hereinafter the "Answers") were filed in the above litigation, challenging the validity of one or more related patents under 35 U.S.C. §§ 101, 102, 103 and 112, and under claims based on inequitable conduct and/or fraud on the USPTO.

Applicants do not believe that the Answers affect the patentability of the pending claims in the above-identified application. However, Applicants are not certain whether the Patent Office might be interested in such information. Just in case such information may be material to the examination of this application and there may be a duty to disclose in accordance with 37 CFR 1.56, Applicants have included the Answers with the attached Information Disclosure Statement to assist the Patent Office.

If it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500727.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Peter Tong', with a stylized flourish at the end.

Peter Tong  
Registration No. 35,757

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PATENT APPLICATION  
Attorney Docket No. 170 Cont2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Chi Fai Ho, Peter P. Tong  
Serial No.: 10/734,932 Examiner: Harris, Chanda L  
Filing Date: December 12, 2003 Group Art Unit: 3715  
Title: Computer-Aided Learning System and Method

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

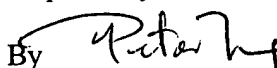
This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c), together with either a:
- ☒ Certification under 37 CFR 1.97 (e), or
- ☐ a \$200.00 fee under 37 CFR 1.17 (p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d), together with a:
- ☐ Certification under 37 CFR 1.97 (e), and
- ☐ a petition under 37 CFR 1.97 (d), and
- ☐ a \$180.00 petition fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

- ☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As indicated in 37 CFR 1.98(d), copies of the patents, publications or other information are provided herewith only if they were not previously cited by and submitted to the Office in any prior application(s).
- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

By 

Peter P. Tong  
Reg. No. 35757

Date: February 22, 2007

Telephone No.: (650) 625-8192



# PATENT APPLICATION

FORM PTO-1449	ATTY. DOCKET NO. <b>170 Cont2</b>	SERIAL NO. <b>10/734,932</b>
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	APPLICANT <b>Chi Fai Ho, Peter P. Tong</b>	
	FILING DATE <b>December 12, 2003</b>	GROUP <b>3715</b>

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	5,437,553	August 1, 1995	Collins, et al.		
	5,738,527	April 14, 1998	Steven W. Lundberg		
	5,724,987	March 10, 1998	Gevins et al.		

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

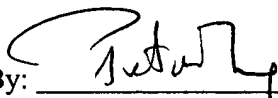
	"Answer to Complaint; Counterclaim" by Plateau Systems, Ltd.	January 2007
EXAMINER	DATE CONSIDERED	

## **STATEMENT**

*(Attachment to Information Disclosure Statement)  
(Use only if required)*

- ☐ 37 C.F.R. § 1.97 (e)(1). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application where the communication was mailed not more than three months prior to the filing of this statement; or
- ☒ 37 C.F.R. § 1.97 (e)(2). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

By:   
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